Theoretical Investigation on the Reactions of H with

 $(CH_3)_{4-n}SiH_n \quad (n = 1-4)$

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The abstractions of H with $(CH_3)_{4\,n}$ SiH_n (n=1-4) have been investigated at high levels of *ab initio* molecule orbital theory. Geometries have been optimized at the MP-2 level with 6-31G(d) basis set, and G2MP2 level has been used for the final energy calculations. Theoretical analysis provided conclusive evidence that the main process occurring in each case is the abstraction of H from the Si—H bond leading to the formation of the H₂ and silyl radicals; the abstraction of H from C—H bond has higher barrier and is difficult to react in each case. The kinetics of the title reactions have been calculated with variational transition-state theory over the temperature range 200—1000 K, and the theoretical rate constants match well with the experimental values.

Keywords Reaction channel, variational transition state, tunneling effect, rate constants

Introduction

The reactions of H with silicon species play important roles in etching silicon surface. ¹⁻³ Although many investigations of the reactions of H atoms with the silane and the methylsilanes have been reported, ⁴⁻¹⁷ the values of the rate constants obtained cover a wide range, thus making it difficult to establish reactivity patterns. This conflict between results often appears to be associated with the different experimental methods employed. Another deficiency in the data available at present is that most work has been carried out only at room temperature, therefore few Arrhenius parameters have been reported. In this paper, we optimized the stationary point geometries and calculated their vibrational frequencies and energies. Based on this

information, we calculated the rate constants for the title reactions with variational transition-state theory (VTST) and tunneling method. The results of VTST calculations are compared with experimental values.

Computation and theory

Ab initio calculations have been carried out using Gaussian 94 programs. The geometries of reactants, transition states and products have been optimized at the UMP2 level with the standard 6-31G(d) basis set. The vibrational frequencies (scaled by 0.93) have been obtained at the same level in order to determine the nature of different stationary points and the zero-point-energy (ZPE) corrections. The intrinsic reaction coordinates (IRC) have been calculated, and the minimum energy paths (MEP) have been obtained at the same level. Then, a series of single-point energies have been calculated at the G2MP2 theory level.

Finally, the POLYRATE 7.8 program¹⁹ has been employed for calculating the rate constants. The rate constants of the title reactions have been calculated over the range of temperature 200—1000 K by using the variational transition-state theory, which is based on the electronic structure data on geometries, frequencies and energies calculated by *ab initio* along the MEP. In the calculation, we have considered the tunneling correction. Since the heavy-light-heavy mass-combination is not present in this hydrogen transfer reactions, the tunneling corrections have been calculated by using the centrifugal-dominant

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Received November 17, 2000; revised and accepted February 22, 2001.
Project supported by the Research Fund for the Doctoral Program of Higher Education of China.

small curvature semiclassical adiabatic ground-state (CD-SCSAG) method. 20

Results and discussion

Geometries and properties of various critical points along

reaction path

The optimized geometries of various stationary points are shown in Fig. 1. The corresponding frequencies and the energies are listed in Table 1 and Table 2, respectively.

Fig. 1 Optimized geometries for the stationary points. The distance is in nm and the angle is in degree. The value in parenthesis is experimental one. ²¹⁻²², 28-29

$a \cdot H + SiH_4$

The data show that the optimized geometries and vibrational frequencies of reactants and products are in good agreement with the experimental values. It might be inferred that the same accuracy could be expected for the calculated transition state geometries. By comparing the geometries of the reactant, product and transition state (denoted as TS1) in Fig. 1, it can be seen that breaking Si-H bond is elongated from 0.148 nm in the reactant to 0.172 nm in the transition state, the forming H—H bond is shortened from 0.096 nm in the transition state to 0.074 nm in the product, so the reaction of H with SiH₄ occurs via a direct hydrogen abstraction mechanism, namely:

$$H + SiH_4 \rightarrow H_2 + SiH_3 \tag{1}$$

The energy is important to the rate constant calculation, so the energy calculations have been done at the high level G2MP2 in this paper. The corresponding barrier height and reaction heat are 21.97 and -77.78 kJ/mol, respectively.

Table 1 Scaled UMP2/6-31G(d) vibrational frequencies of the reactants, transition states and products. The value in parenthesis is experimental one^{21,22}

<u> </u>	parenthesis is experimental one ^{21,22}
Species	Frequencies (cm ⁻¹)
SiH ₄	2173(2189) 2173(2189) 2172(2189) 2160(2186) 935(972) 935(972) 890(913) 890(913)
	889(913)
CH ₃ SiH ₃	2985 2985 2896 2151 2151 2146 1421 1421 1280 924 924 912 869 869 667 497
	497 186
$(CH_3)_2SiH_2$	2980 2979 2976 2976 2890 2890 2126 2125 1427 1423 1416 1415 1280 1273 934
	900 870 865 847 692 627 624 565 445 193 165 148
$(CH_3)_3SiH$	2974 2974 2973 2970 2969 2969 2884 2884 2884 2103 1431 1423 1423 1415 1415 1411
	1280 1271 1271 896 896 864 836 836 679 679 674 603 603 589 226 188 188 161
	161 143
TS1	2167 2167 2141 1105 1080 1080 905 905 808 315 315 1797i
TS2	2986 2980 2893 2144 2130 1418 1418 1276 1036 1033 1028 910 869 853 666 586 518
	317 175 149 1800i
TS3	3039 2956 2159 2153 2138 1614 1368 1155 1141 922 919 908 865 863 695 612 588
	466 264 114 1788i
TS4	2981 2981 2971 2971 2887 2887 2112 1424 1420 1415 1412 1276 1269 1045 1035 1032
	875 862 850 711 677 618 596 531 193 180 166 150 140 1748i
TS5	3032 2982 2979 2950 2890 2136 2132 1610 1419 1418 1368 1275 1154 1138 926 897
	871 861 854 711 700 634 631 522 435 299 173 161 79 1783i
TS6	2977 2976 2964 2964 2964 2881 2881 2880 1429 1420 1420 1412 1412 1408 1278
	1267 1267 1043 1043 1037 856 848 848 705 705 680 669 669 578 212 189 189 160
160	150 150 138 1695i
TS7	3027 2980 2979 2974 2973 2945 2889 2888 2115 1605 1425 1421 1415 1413 1367 1276
	1269 1154 1137 891 888 861 846 834 732 692 682 656 605 599 532 309 219 186
	164 156 143 78 1769i
SiH ₃	2173(2180) 2173(2180) 2140(2150) 903(933) 903(933) 750(773)
H_2	4217(4404)
CH ₃ SiH ₂	3087 2976 2891 2144 2123 1418 1417 1271 906 869 836 662 559 505 176
CH ₂ SiH ₃	3122 3012 2170 2163 2145 1380 943 935 923 827 721 636 506 488 47
$(CH_3)_2SiH$	2984 2984 2968 2967 2884 2883 2106 1424 1419 1415 1412 1272 1265 876 860 853
	716 674 615 596 496 189 149 137
CH ₃ SiH ₂ CH ₂	3088 2995 2983 2980 2891 2134 2131 1419 1418 1381 1273 923 893 872 829 728 724
	637 569 525 441 205 164 8
(CH ₃) ₃ Si	2981 2981 2981 2960 2960 2960 2876 2876 2876 1429 1418 1418 1413 1413 1407 1273
	1262 1262 862 851 852 710 710 681 664 664 575 213 184 184 149 149 137
$(CH_3)_2SiHCH_2$	3076 2985 2974 2974 2974 2973 2886 2886 2090 1427 1422 1415 1414 1381 1276 1270
	893 889 848 825 713 711 681 658 601 599 518 238 196 186 161 151 76

Table 2 Total energy (hartree) and relative energy (kJ/mol)

1able 2 Total ener	gy (nartree) and relative	e energy (kJ/mol)
Species	G2MP2	ΔE
SiH ₄ + H	- 291.91553	0.00
$CH_3SiH_3 + H$	- 331 . 15307	0.00
$(CH_3)_2SiH_2 + H$	- 370.39311	0.00
$(CH_3)_3SiH + H$	- 409 . 63265	0.00
TS1	- 291 . 90716	21.97
TS2	- 331 . 14531	20.38
TS3	- 331 . 13947	35.69
TS4	- 370.38484	21.71
TS5	- 370.37329	52.01
TS6	- 409 . 62534	19.20
TS7	- 409 . 61581	44.22
$SiH_3 + H_2$	- 291 . 94512	- 77.78
$CH_3SiH_2 + H_2$	- 331 . 17287	- 52.01
$CH_2SiH_3 + H_2$	- 331 . 15869	- 14. <i>7</i> 7
$(CH_3)_2SiH + H_2$	- 370 . 41086	- 46.61
$CH_3SiH_2CH_2 + H_2$	- 370.39535	-4.77
$(CH_3)_3Si + H_2$	- 409 . 64929	- 43.68
$(CH_3)_2SiHCH_2 + H_2$	- 409 . 63961	- 18.28

$$b \cdot H + (CH_3)_{4-n}SiH_n \quad (n = 1-3)$$

As mentioned above, the direct hydrogen abstraction from $(CH_3)_{4n}SiH_n$ by H can occur via two channels: the abstractions from Si—H bond and from C—H bond, namely:

According to the Arrhenius parameters determined by Potzinger and coworker, ²³ the rate constant of the reaction of H atoms with $(CH_3)_4Si$ is 1.15×10^{-16} cm³/s at 298 K. Considering the number of methyl groups in the various methylsilanes, the resultant rate constants of H abstraction from C—H bond account for 0.03, 0.02 and 0.01% of the experimental values for n = 1—3, respectively. So H atoms attack solely the Si—H bonds of the methylsilanes in the reaction of H with methylsilane.

In this work, the calculated barrier height of the hydrogen abstraction from Si—H bond of CH₃SiH₃ is 20.38 kJ/mol, while the calculated barrier height from C—H

bond is 35.69 kJ/mol. The corresponding reaction heats are -52.01 kJ/mol and -14.77 kJ/mol, respectively. The higher barrier makes the hydrogen abstraction from C—H bond more difficult than that from Si—H bond, so the hydrogen abstraction from Si—H bond is the sole channel. It agrees with the experimental results of Potzinger very well. Similar conclusions can be obtained from the reactions of the hydrogen abstraction from (CH₃)₂SiH₂ or (CH₃)₃SiH.

Rate constants

The potential energy of the minimum energy path $V_{\rm MEP}$, and the ground-state vibrationally adiabatic potential energy $V_{\rm a}^{\rm G}$ of the reaction of H with SiH₄ are plotted against the intrinsic reaction coordinate s as shown in Fig. 2, where MEP means the minimum energy path. It can be seen that the curves, $V_{\rm MEP}$ and $V_{\rm a}^{\rm G}$, are similar in shape, and this implies that the variational effect for the calculation of the rate constants would be small. The change trends of $V_{\rm MEP}$ and $V_{\rm a}^{\rm G}$ against the intrinsic reaction coordinate s of the reactions of H with methylsilanes are similar to those of the reaction of H with SiH₄, so they will not be shown in this paper.

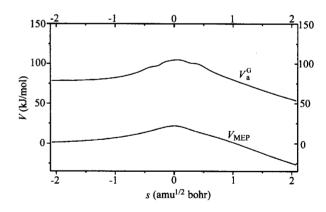


Fig. 2 The potential energy curve $V_{\rm MEP}$ and the vibrationally adiabatic ground-state potential energy curve $V_{\rm a}^{\rm G}$ as a function of the instrinsic reaction coordinate s.

$$a \cdot H + SiH_4$$

The reported experimental values of the reaction of H with SiH₄ are shown in Table 3. The front four values (Ref. 8,9,10,11) were determined before 1975 and are higher than recent experimental results probably because of the poor experimental conditions. Setting aside the

anomalously high values, we see that the remaining experimental rate constants fall within the range $2.0-4.6 \times 10^{-13}$ cm³/s. It is interesting to note that all the recent results form three groups in the range of temperature 298—305 K. There are four values (Ref. 4, 15, 17, 6) from 2.0 to 2.8×10^{-13} cm³/s in the first group. There are also four values (Ref. 12,13,14,16) from 4.0 to 4.6×10^{-13} cm³/s in the second group. There is only one value (Ref. 7) 3.4×10^{-13} cm³/s in the third group that is just the average of all the values of the first and the second groups.

Table 3 Experimental results for $H + (CH_3)_{4-n}SiH_n$ (n = 1-4) (k, 10^{-13} cm³/s)

T (K)	Rate	Ref.
	SiH ₄	
298	> 2.2	8
298	21 ± 7 9	
298	26 ± 3 10	
300	85 ± 34	11
300	4.6 ± 0.3	12
305	4.4 ± 1.0	13
298	4.4 ± 0.7	14
294—487	2.0 ± 0.1	4
293	2.2 ± 0.2	15
298	4.0	16
298	2.5 ± 0.5	17
298	2.8 ± 0.3	6
298	3.4 ± 0.3	7
	CH ₃ SiH ₃	
298	12.6 ± 3.8	9
298	11.5 ± 2.0	10
305	6.3 ± 1.2	13
298	3.8 ± 0.2	14
291	4.0 ± 0.1 4	
298	3.9 ± 0.2	7
	$(CH_3)_2SiH_2$	
298	17.6 ± 4.8	9
298	4.1 ± 0.9	10
305	6.8 ± 1.3	13
298	2.8 ± 0.2	14
292	3.1 ± 0.1	4
298	3.9 ± 0.3 7	
	$(CH_3)_3SiH$	
298	15.9 ± 4.0	9
298	3.7 ± 1.0 10	
305	5.8 ± 1.2 13	
290	2.6 ± 0.1 4	
298	2.7 ± 0.2	7

Table 4 gives the computational rate constants over the range of temperature 200—1000 K. Comparing the computational rate constants with the experimental values, it can be seen that our results agree with the experimental values of the first group very well. It is thought that the experimental results of the second group may be overestimated.

Table 5 shows other known theoretical results. Group A results were reported by Espinosa-Garcia and coworkers. 24 They constructed the potential energy surface of the reaction SiH₄ + H→SiH₃ + H₂, and calculated the rate constants with variational transition-state theory and CD-SCSAG tunneling correction using this surface. In this work we use the "direct dynamics" method of variational transition-state theory, which is based on the information on geometries, frequencies and energies calculated by ab initio method along the minimum energy path. Compared with Espinosa-Garcia's method, our method is easy and timesaving. Group B6 values were calculated from the Arrhenius equation $k(T) = 1.78 \times 10^{-10} \exp(-3820/RT)$ fitted by a series of experimental values. There are no direct experimental values when the temperature is higher than 500 K, so we think the values calculated from Arrhenius equation are the experimental values. Compared with the results in Table 5, our values and Espinosa-Garcia's ones agree with the experimental values very well.

The experimental rate constants of the reactions of H atoms with methylsilanes are also listed in Table 3. There is a clear separation between the earlier values and the later ones. The values of Hong, Cowfer and Auatin are earlier. The values of Worsdorfer, Potzinger and Arthur are later. The earlier values are much higher than the later ones. By comparing the values in Table 3 with those in Table 4, it can be seen that our computational values agree with the later experimental results very well.

c. Reactivity trends

The effect of the methyl substitution on the strength and reactivity is an interesting topic. The effect can be seen by evaluating k/n, the rate constant corrected for the reaction-path degeneracy, where n is the number of Si—H bonds. A plot of k/n versus n at 298 K is shown in Fig. 3. Our values show that methyl substitution leads

to a progressive increase in Si—H bond reactivity. The same trend is followed by the experimental values. Our computational k/n values agree with those of Arthur⁴ perfectly. The k/n values of Austin and coworkers¹³ are much higher than our computational values and other experimental results. The conclusion that the methyl substitution increases the reactivity of Si—H bond can be drawn

from the reactions of various atoms with SiH_4 and the methylsilanes. The ratios²⁵⁻²⁷ of the k/n values for $(CH_3)_3SiH$ and SiH_4 at 298 K are 35, 2.8 and 4.6 for O, Cl and Br, respectively. Therefore the introduction of methyl groups enhances the reactivity of the Si-H bond to a similar extent for the H, O, Cl and Br reactions.

Table 4	CVT/	'CD-SCSAG	rate	constants (cm	3/s)
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T (K)	SiH₄	CH₃SiH₃	(CH ₃) ₂ SiH ₂	(CH ₃) ₃ SiH
200.00	5.13 <i>E</i> – 14	1.58E - 14	9.13 <i>E</i> - 14	1.16 <i>E</i> – 13
298.00	2.15E - 13	4.05E - 13	2.79E - 13	2.64E - 13
300.00	2.21E - 13	4.74E - 13	2.86E - 13	2.68E - 13
350.00	4.23E - 13	9.95E - 13	4.88E - 13	4.10E - 13
400.00	7.50E - 13	1.55E - 12	7.95E - 13	6.12E - 13
450.00	1.24E - 12	2.31E - 12	1.23E - 12	8.87E - 13
500.00	1.92E - 12	3.32E - 12	1.81E - 12	1.25E - 12
550.00	2.83E - 12	4.61E - 12	2.57E - 12	1.71E - 12
600.00	4.01E - 12	8.14E - 12	3.52E - 12	2.27E - 12
700.00	7.23E - 12	1.04E - 11	6.08E - 12	3.77E - 12
800.00	1.18E - 11	1.61E - 11	9.60E - 12	5.81E - 12
900.00	1.78E - 11	2.35E - 11	1.42E - 11	8.44E - 12
1000.00	2.53E - 11	3.25E - 11	1.99E - 11	1.17E - 11

Table 5 Other known theoretical results for $H + SiH_4$ (cm³/s)

T (K)	Surface ^A	Expt. ^B
200	3.07×10^{-14}	
250	8.80×10^{-14}	
300	2.09×10^{-13}	2.81×10^{-13}
350	4.32×10^{-13}	7.20×10^{-13}
400	7.96×10^{-13}	1.43×10^{-12}
450	1.34×10^{-12}	2.41×10^{-12}
500	2.11×10^{-12}	3.78×10^{-12}
600	4.43×10^{-12}	7.18×10^{-12}
700	8.00×10^{-12}	
1000	2.80×10^{-11}	

Conclusion

We have calculated the rate constants for the reactions of H with $(CH_3)_{4n} SiH_n$ (n=1—4), using the "direct dynamics" method of variational transition-state theory. The results were found to be in agreement with the most recent experimental data. From the above studies the following conclusions can be drawn:

1. The hydrogen abstractions from Si-H bonds are

the sole channel for the reactions of H with $(CH_3)_{4-n}SiH_n$ (n = 1-3).

2. The methyl substitution has an effect on the strength and reactivity of the Si—H bond in SiH₄.

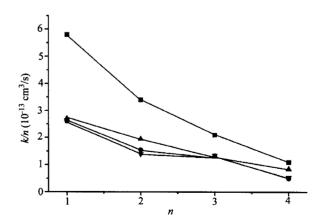


Fig. 3 Effect of methyl substitution on Si—H bond reactivity: ■ Ref.13; ▲Ref.7; ▼ this work; ● Ref.4.

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(E200011247 JIANG, X.H.; LING, J.)